

Memorandum

To: Network #15 Facilities
From: Matt Howard, Information Services Director
Date: May 28, 2010
Re: Self-Identification of Ethnicity and Race on Form 2728
(Items 8, 9, and 10)

This memo is to provide the facilities within Network #15 written instructions regarding reporting patient ethnicity and race (fields 8, 9 and 10) on the OMB 2728 Medicare Entitlement Form.

It is important to note that the current instructions on CMS Form 2728 do not specify that ethnicity and race must be self-reported, however to be in conformance with current OMB standards this information needs to be self-reported. "Self-reported" is defined as *the patient's or patient family member's verbal or written confirmation of ethnicity and race.*

CMS has also instructed the ESRD Networks to provide the following clarification on how to correctly document patient ethnicity and race.

Whenever possible, providers must document **both** the patient's *self-reported* ethnicity (field 8) **and** race (field 10) on the OMB 2728 Form. In the event that a patient or patient's family member is unable or unwilling to self-report their ethnicity **and/or** race, you are to record this information on behalf of the patient, and acknowledge the absence of the patient's self-reported ethnicity and race in the remarks area (field 53) by noting that "fields 8-10" were reported by _____.

Included with this memo is a reminder on when a 2728 is required and a flow chart that illustrates how facilities may choose to collect and report this information.



When Is A 2728 Required?

The CMS Form 2728 (End Stage Renal Disease Medical Evidence Report | Medicare Entitlement and/or Patient Registration) is required in three different circumstances:

Initial	Required when a patient is diagnosed as ESRD and receives their first transplant or outpatient, chronic dialysis treatment(s).
Re-entitlement	Required when an initial 2728 form has been previously submitted and the patient: <ul style="list-style-type: none"> • Transitions to any type of home dialysis within the first 3 months after initial dialysis. • Has a transplant within the first 3 months after initial dialysis.
Supplemental	Required when an initial 2728 form has been previously submitted and the patient: <ul style="list-style-type: none"> • Transitions to any type of home dialysis within the first 3 months after initial dialysis. • Has a transplant within the first 3 months after initial dialysis.



**Decision
 flowchart for
 reporting race
 and ethnicity on
 the CMS 2728
 Form**

